REMARKS

In view of the above amendments and the following remarks, reconsideration of the outstanding office action is respectfully requested.

Claims 38-44 and 51 have been amended, and new claims 52-59 have been added. New claims 52-59 find descriptive support in the claims as filed, as well as the disclosure of SEQ ID NOS:1-8 and fragments thereof (pp. 12-25 of specification).

The rejection of claims 38-44 and 46-51 under 35 U.S.C. § 112, first paragraph, as lacking written descriptive support for the claimed genus is respectfully traversed.

The burden of establishing that an application lacks adequate written descriptive support falls on the U.S. Patent and Trademark Office ("PTO"). See In re Wertheim, 541 F.2d 257, 263, 191 USPQ 90, 97 (CCPA 1976) ("[T]he PTO has the initial burden of presenting evidence or reasons why persons skilled in the art would not recognize in the disclosure a description of the invention defined by the claims."). Hence, the PTO must demonstrate why the disclosure is insufficient.

The PTO has taken the position at pages 3-6 of the outstanding office action that the four exemplary nucleic acids (SEQ ID NO: 2 encoding HrpN of Erwinia chrysanthemi, SEQ ID NO: 4 encoding HrpN of Erwinia amylovora, SEQ ID NO: 6 encoding HrpZ of Pseudomonas syringae, and SEQ ID NO: 8 encoding PopA1 of Pseudomonas solanacearum) are not representative of the claimed genus. The basis for the PTO's position is that a number of pathogen species—in the sense of biological classification—exist, and hypersensitive response elicitors from only several of the many pathogen species have been described in the specification. Applicants submit that this basis for asserting lack of written descriptive support is insufficient.

The Federal Circuit has clearly espoused that *per se* conclusions of written description violations cannot be founded upon the basis of genus size alone. *See Enzo Biochem, Inc. v. Gen-Probe Inc.*, 296 F.3d 1316, 1326-27, 63 USPQ2d 1609, 1614-15 (Fed. Cir. 2002) (refusing to adopt position that three species as a matter of law cannot satisfy written description requirement for significantly larger genus). Thus, the PTO's conclusion cannot be based on genus size alone. But that is precisely what the PTO has done at pages 3-6 of the outstanding office action. The PTO lists numerous organisms, suggests that the majority of these organisms would produce at least one hypersensitive response elicitor, and then concludes that neither the claimed genus nor the subgenera from any bacteria are

adequately described. Because the PTO's position is unsupported by law and unsupported by any facts other than genus size, applicants submit that the PTO's position cannot be sustained.

With respect to the PTO's citation of several hypersensitive response elicitors identified after the priority filing date of the present application (e.g., HrpW of Erwinia amylovora and Pseudomonas syringae), it should be noted that the specification teaches those of skill in the art that these elicitors, too, can be used to practice the invention even though their nucleotide and amino acid sequences are not recited specifically in the specification. It should be noted that the "Guidelines for Examination of Patent Applications Under the 35 U.S.C. 112 ¶ 1, 'Written Description' Requirement," make explicitly clear that the description of a representative number of species does not require the description to be of such a nature that it would provide support for each species that the genus embraces. 66 Fed. Reg. 1099, 1106 (2001). Hence, the absence of sequences for the later-identified HrpW elicitors is irrelevant to the issue of whether the present specification provides adequate written descriptive support for their use in accordance with the present invention.

Moreover, the conclusion by the PTO is contrary to evidence previously submitted by applicants in this case. As demonstrated in the Declaration of Zhong-Min Wei Under 37 C.F.R. § 1.132 (dated September 25, 2002) ("Wei Declaration") and the Supplemental Declaration of Zhong-Min Wei Under 37 C.F.R. § 1.132 (dated January 21, 2003) ("Supplemental Wei Declaration"), one of ordinary skill in the art would have understood that applicants were in possession of the presently claimed invention at the time the present application was filed. This is so, because the four exemplary species were recognized at the time of filing as belonging to an art-recognized class of hypersensitive response eliciting proteins produced by bacterial plant pathogens.

One reason why the disclosed species are representative of the claimed genus is because the species were recognized as structurally and functionally conserved. For example, it was known that hypersensitive response elicitors within a given genus—again, in the sense of biological classification—are often homologous to elicitors from different pathogenic species and strains of the same genus. See Supplemental Wei Declaration ¶ 6. This has been demonstrated among HrpN homologs from Erwinia, where the Erwinia amylovora hrpN gene has been used to clone other hrpN homologs from different Erwinia species (see Supplemental Wei Declaration ¶ 7-9); and HrpZ homologs from Pseudomonas, where the Pseudomonas syringae hrpZ gene has been used to clone other hrpZ homologs from different Pseudomonas syringae pathovars (see Supplemental Wei Declaration ¶ 10). Further, the genes encoding the HrpN hypersensitive response elicitor from several strains of

Erwinia pyrifolia have since been cloned. See Jock et al., "Molecular Differentiation of Erwinia amylovora Strains from North America and of Two Asian Pear Pathogens by Analyses of PFGE Patterns and hrpN genes," Environ. Microbiol. 6(5): 480-490 (2004) ("Jock") (attached hereto as Exhibit 1). As reported in Jock, the hrpN genes were amplified with PCR consensus primers that were deduced by comparison of the known nucleotide sequences of Erwinia amylovora hrpN and Erwinia stewartii hrpN. Indeed, Jock (at page 489) recites the following:

Erwinia pyrifoliae and the Erwinia strains from Japan were considered to be sufficiently related to E. amylovora to amplify their genes with the Erwinia PCR consensus primers given above. This was indeed possible and allowed cloning and sequencing of their hrpN DNA fragments. . . .

In view of the above, one of ordinary skill in the art would expect structural conservation of hypersensitive response elicitors, at least among the pathogens classified as belonging to the same genus (again, in the sense of biological classification).

Another reason why the disclosed species are representative of the claimed genus is because the encoding genes are similarly regulated, expressed, and secreted by their source organisms. For instance, the genes encoding hypersensitive response elicitors are positioned within the *hrp* gene cluster or proximate to the *hrp* gene cluster in *hrp* regulons. See Supplemental Wei Declaration ¶ 11. Substantially all hypersensitive response elicitors identified have been shown to be secreted through the type III (or *hrp*-dependent) secretion pathway, which is a highly conserved and unique mechanism for the delivery of pathogenicity related molecules in gram-negative bacteria. See Supplemental Wei Declaration ¶ 13. Finally, expression of the genes encoding the *hrp* gene cluster is induced under conditions that mimic the plant apoplast, such as low concentrations of carbon and nitrogen, low temperature, and low pH. See Supplemental Wei Declaration ¶ 14. Thus, because the encoding genes are similarly regulated, expressed, and secreted by their source organisms, one of ordinary skill in the art would expect other hypersensitive response elicitor genes to behave similarly.

Another reason why the disclosed species are representative of the claimed genus is because the disclosed species are characterized by a number of common biochemical characteristics which were known to those of skill in the art prior to the filing date of the present application. These include being glycine rich, heat stable, hydrophilic, lacking of an N-terminal signal sequence, and susceptible to proteolysis. *See* Supplemental Wei Declaration ¶ 15.

A final reason why the disclosed species are representative of the claimed genus is because these species share the ability to induce specific plant responses. The induction of plant disease resistance, plant growth enhancement, and plant stress resistance are three plant responses that result from treatment of plants or plant seeds with a hypersensitive response elicitor from a bacterial plant pathogen. *See* Supplemental Wei Declaration ¶ 17.

With respect to growth enhancement, both topical application and transgenic expression have proven to be useful. Topical application of hypersensitive response elicitors from a range of sources, such as *Pseudomonas syringae* (HrpZ) and *Xanthomonas campestris* (HreX), was shown to enhance the growth of tomato plants. *See* Wei Declaration ¶ 4 and 9-11. Growth enhancement was also shown to be induced by transforming cotton and *Arabidopsis* plants with a DNA molecule encoding the HrpN hypersensitive response elicitor from *Erwinia amylovora*. *Id.* at ¶ 4-8. In view of the above-described data showing induction of plant growth enhancement by different hypersensitive response elicitors from multiple bacterial sources, including those disclosed by sequence and those not disclosed by sequence in the present application, one of ordinary skill in the art would expect other members of this art-recognized class to likewise induce growth enhancement in plants following topical application or transgenic expression of such bacterial hypersensitive response elicitors.

As described above and as supported by the Wei Declaration and the Supplemental Wei Declaration, applicants have presented a body of evidence demonstrating that the four species belong to an art-recognized class of proteins from bacterial plant pathogens; that structural conservation exists among homologs of the different hypersensitive response elicitor proteins; that hypersensitive response elicitor genes are similarly regulated, expressed, and secreted by type III secretion systems of their source organisms; that the hypersensitive response elicitor proteins are characterized by a number of common biochemical characteristics which were known to those of skill in the art prior to the filing date of the present application; and that the hypersensitive response elicitor proteins of this art-recognized class are functionally similar in their ability to induce similar plant responses, particularly growth enhancement. The PTO, on the other hand, has merely suggested that the genus is large and may contain many species—though the PTO did not demonstrate that the genus contains structurally and functionally unrelated species.

For all these reasons, the rejection of claims 38-44 and 46-51 as lacking written descriptive support and should be withdrawn.

The rejection of claims 38-44 and 46-51 under 35 U.S.C. § 112, first paragraph, for lack of enablement is respectfully traversed.

The rejection is premised on the asserted failure to teach one of ordinary skill in the art how to make and/or use the invention with regard to species of nucleic acids (encoding hypersensitive response elicitors) that are not described by nucleotide sequence in the present application. For substantially the same reasons noted above, applicants submit that this rejection is improper.

All that is needed is objective enablement of what is claimed. *In re Wright*, 999 F.2d 1557, 1561, 27 USPQ2d 1510, 1513 (Fed. Cir. 1993). That is precisely what the specification provides, when the disclosure is considered with the state of the art at the time the application was filed. One of ordinary skill in the art is fully capable of identifying other hypersensitive response elicitor-encoding nucleic acids (*see* Supplemental Wei Declaration ¶6-10), determining whether the encoded protein does, in fact, induce a hypersensitive response in non-host plants (*see* Supplemental Wei Declaration ¶5), preparing DNA constructs and transforming plants as described in the specification at page 32, line 28 to page 33, line 18, and then ascertaining whether the transformed plants exhibit enhanced growth (*see* Examples 1-24; *see also* Wei Declaration ¶¶7-11) (describing methods of testing for growth enhancement in a variety of plants). Thus, the teachings of the present application, coupled with the knowledge in the art, would have allowed one of skill in the art to practice the claimed invention within the full scope of the claims, even with nucleic acids not explicitly disclosed therein.

For these reasons, the rejection of claims 38-44 and 46-51 for lack of enablement is improper and should be withdrawn.

The rejection of claims 39-44 and 51 under 35 U.S.C. § 112, second paragraph, for indefiniteness is respectfully traversed in view of the above amendments.

In view of all of the foregoing, applicant submits that this case is in condition for allowance and such allowance is earnestly solicited.

Respectfully submitted,

Date: October 7, 2004

Edwin V. Merkel Registration No. 40,087

NIXON PEABODY LLP Clinton Square, P.O. Box 31051 Rochester, New York 14603-1051

Telephone: (585) 263-1128 Facsimile: (585) 263-1600

CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(a)]

I hereby certify that this correspondence is being:

deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

□ transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at

October 7,2007

Signature

Jo Ann Whalen

Type or Print Name

Molecular differentiation of *Erwinia amylovora* strains from North America and of two Asian pear pathogens by analyses of PFGE patterns and *hrpN* genes

Susanne Jock and Klaus Geider*

Max-Planck-Institut für Zellbiologie, Rosenhof,
Ladenburg, Germany.

Summary

In order to determine a possible genomic divergence of Erwinia amylovora 'fruit tree' and raspberry strains from North America, several isolates were differentiated by pulsed-field gel electrophoresis (PFGE) analysis, the size of short DNA sequence repeats (SSRs) and the nucleotide and deduced amino acid sequences of their hrpN genes. By PFGE analysis European strains are highly related, whereas strains from North America were diverse and were further distinguished by the SSR numbers from plasmid pEA29. The E. amylovora strains from Europe showed identical HrpN sequences in contrast to the American Isolates from fruit trees and raspberry. Those were related to each other, but distinguishable by their HrpN patterns. The Asian pear pathogens differed in HrpN among each other and from E. amylovora. Erwinia pyrifoliae isolates and the Erwinia strains from Japan were ordered via their HrpN sequences in agreement with the PFGE patterns. For all three pathogens, dendrograms from PFGE and sequence data indicate an evolutionary diversity within the species in spite of a genetic conservation for parts of the hrpN genes suggesting a long persistence of the Asian pear pathogens in Korea and Japan as well as of fire blight in North America. Some of the divergent American E. amylovora isolates share PFGE patterns with the relatively uniform European strains.

Introduction

Fire blight of apple and pear fruit trees and raspberry as well as of other rosaceaous plants is assumed to have originated in the Eastern part of North America, from

Received 28 October, 2003; accepted 9 December, 2003. *For correspondence at the Max-Planck-Institut für Zellbiologie, c/o BBA, Schwabenheimer Str. 101, D-69221 Dossenheim, Germany. E-mail K.Geider@bba.de; Tel. (+49) 6221 86805 53; Fax (+49) 6221 86805 15.

where the disease might have been endemic for a long time, and was then distributed in the last century to many countries of the Northern hemisphere and to New Zealand (Bonn and van der Zwet, 2000). In Korea, a bacterial disease of pears and its causative agent *Erwinia pyrifoliae* has been described (Rhim et al., 1999), which was distinguished from *Erwinia amylovora* by molecular and microbiological tools (Kim et al., 1999) and additional DNA sequences (McGhee et al., 2002). Another disease, bacterial shoot blight of pear, was noticed on the island of Hokkaido in Japan (Beer et al., 1996) and the pathogen has been shown to be more related to *E. pyrifoliae* than to *E. amylovora* (Kim et al., 2001a).

Erwinia amylovora has been extensively investigated for many physiological, biochemical and molecular features (reviewed in Vanneste, 2000). Two main factors are a strict requirement for pathogenicity: the ability to produce the acidic exopolysaccharide (EPS) amylovoran, encoded in the 17 kb ams region of the chromosome (Bugert and Geider, 1995) and to induce a hypersensitive response (HR) on non-host plants, encoded by the 30 kb hrp region (Kim and Beer, 2000). The large number of hrp genes is associated with regulation and transport of two elicitor proteins, HrpN (harpin) (Wei et al., 1992) and HrpW (Barny, 1995). The adjacent dsp region with dspA/E (Gaudriault et al., 1997; Bogdanove et al., 1998) may contribute to harpin activity. Because mutagenesis of hrpN revealed residual HR-inducing activity of HrpN-fragments, HrpN might not be strictly required as an intact protein (Barny, 1995) and conservation of its sequence has not been strongly selected in mutational changes during evolution. Accordingly, its DNA and amino acid sequences could be open to changes without affecting bacterial fitness and may be useful for strain and species differentiation.

Another molecular tool for differentiation of *E. amylovora* and *E. pyrifoliae* as well as the *Erwinia* strains from Japan is PFGE analysis (Zhang and Geider, 1997; Zhang *et al.*, 1998; Jock *et al.*, 2002). Macrorestriction of the bacterial genome revealed several closely related but distinguishable pattern types for *E. amylovora* which were used to follow spread of fire blight in Europe and in the Mediterranean region (Jock *et al.*, 2002). Another method to distinguish *E. amylovora* strains and the *Erwinia* strains

© 2004 Blackwell Publishing Ltd

Α

from Japan was determination of short sequence DNA repeats (SSR) in the PCR fragment amplified with primers P29A and P29B from the common E. amylovora plasmid pEA29 (Kim and Geider, 1999), also applied to the Erwinia strains from Japan (Jock et al., 2003a). In contrast to E. amylovora strains from Europe and the Mediterranean region, heterogeneous PFGE patterns of American strains could indicate a long persistence of the pathogen in North America. Based on HrpN-sequences, E. pyrifoliae strains from Korea (Kim et al., 2001b) and pear-pathogenic Erwinia strains from Japan (Kim et al., 2001a) were also divergent. Accordingly, macrorestriction and hrpN sequence analysis can be used for differentiation and grouping of strains within the three pathogens.

Results

PFGE patterns of E. amylovora strains isolated in North America from fruit trees and raspberry

To estimate possible diversity of Erwinia amylovora strains in North America, we collected a set of strains in several areas of Eastern Canada. The samples were derived from fire blight-infected orchards with pear and apple trees located in Nova Scotia near Kentville and in Ontario near Toronto as well as from hawthorn adjacent to the apple orchard in the Kentville area. After an Xbal digest (Fig. 1A, Table 1), the strains isolated from hawthorn and apple trees from Kentville carry the PFGE pattern Pt4 as found before (Jock et al., 2002) for strains isolated in England, Western France and Northern Spain. Strikingly, the strains from pears which are isolated in Nova Scotia in an orchard only 100 km apart from the apple orchard, had a different pattern. Another divergent pattern type was found for strains isolated in pear orchards of the Ontario region. The divergence or similarity of the investigated isolates can be deduced from the dendrogram in Fig. 1B.

An additional set of strains was isolated in Eastern Canada 1997 in the Kentville area of Nova Scotia. Strains from apple trees had the same pattern as the strains from hawthorn and apple isolated in 2000 (Table 1). Some shared the PFGE pattern with the European pattern types Pt1, others with Pt4. Most others were quite divergent in contrast to the closely related European pattern types.

Remarkably, E. amylovora strains isolated in Europe and in the Mediterranean region have an identical PFGE pattern in an Spel digest except for one band shifted for strains of the Xbal pattern type Pt3 (Zhang and Geider, 1997). In contrast, the strains from America were divergent in their Spel pattern (Fig. 2A), except strains EaCa4/ 97 and EaCa6/97 with an identical Spel pattern, which were isolated in the same year and area. Three strains which were isolated in Eastern Canada from raspberry, an alternative host for fire blight, differed in their PFGE

3 6 7 M 388-291-194-97-

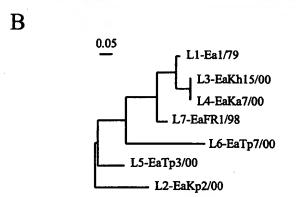


Fig. 1. PFGE analysis of E. amylovora strains isolated in Canada after genomic Xbal digests.

A. Lanes: M: λ DNA marker (sizes at left in kb); 1: Ea1/79 (Pt1, standard pattern for central Europe); 2: EaKp2/00; 3:EaKh15/00; 4: EaKa7/00; 5: EaTp3/00; 6: EaTp7/00 (Isolates from Eastern Canada.); 7: EaFR1/98 (from Germany); Xbal digests.

B. Dendrogram from patterns in A. Bar, distance scale.

patterns after Xbal and Spel digests among each other and showed barely overlapping patterns with 'fruit tree' strains (Fig. 2A, Table 1). The raspberry strain IL6 from Illinois is more related to the 'fruit tree' strain Ea1/79 than the other rubus strains assayed.

The sizes of SSRs of strains from a narrow region of Eastern Canada

A more variable feature than PFGE patterns of the E. amylovora genome is a DNA fragment from the common plasmid pEA29 with several short sequence DNA repeats (Kim and Geider, 1999; Jock et al., 2003a). The SSR numbers are not related to the PFGE patterns, enabling differentiation of strains with the same pattern by SSR numbers. Rarely, the SSR numbers differ for strains isolated from plants in the same region. Nevertheless, strains

Table 1. Bacteria used in the experiments.

Strain	Description of isolation (plant, place, year, provider)	PFGE pattern	
E. amylovora strains from (Canada (fruit tree)		
EaCa1/00	pear (P. communis), Annapolis Valley/Nova Scotia, 2000, G. Braun	A (d)	
EaCa4/97	apple (M. domestica), Annapolis Valley/Nova Scotia, 1997, G. Braun		
EaCa6/97	apple, Annapolis Valley/Nova Scotia, 1997, G. Braun		
EaCaH6	Harrow, D. Hunter	B/Pt4 (a) B/Pt4	
EaCaH9	Harrow, D. Hunter	Pt1	
EaCaL4	London, D. Hunter	B/Pt4	
EaCaS16	Simcoe, D. Hunter	Pt1	
EaCaS23	Simcoe, D. Hunter	Pt1	
EaCaS5	Simcoe, D. Hunter	Pt1	
EaCaV15	Niagara, D. Hunter	Ву	
EaCaV18	Niagara, D. Hunter	Bz	
EaCaV8	Niagara, D. Hunter	Bx	
EaCaW2E	Wentowth country/Hamilton, D. Hunter	Pt1	
EaCaW3	Wentowth country/Hamilton, D. Hunter	B/Pt4	
EaKa6/00	apple (M. domestica), Kentville, this work————————————————————————————————————	B/Pt4	
EaKa7/00	apple, Kentville/Nova Scotia, 2000, this work	B/Pt4	
EaKa8/00	apple, Kentville/Nova Scotia, 2000, this work	_	
EaKa9/00	apple, Kentville/Nova Scotia, 2000, this work	_	
		_	
EaKa10/00	apple, Kentville/Nova Scotia, 2000, this work	-	
EaKh14/00	hawthorn (Crataegus sp.), Kentville/Nova Scotia, 2000, this work	-	
EaKh15/00	hawthorn (Crataegus sp.), Kentville/Nova Scotia, 2000, this work	B/Pt4	
EaKh17/00	hawthorn, Kentville/Nova Scotia, 2000, this work	B/Pt4	
EaKp1/00	pear (P. communis), Kentville/Nova Scotia, 2000, this work	A	
EaKp2/00	pear (<i>P. communis</i>), Kentville/Nova Scotia, 2000, this work	Ä	
	pear (<i>P. communis</i>), Kentville/Nova Scotia, 2000, this work	^	
EaKp5/00		_	
EaTp3/00	pear (P. communis), Niagara Falls/Ontario, 2000, this work	C	
EaTp7/00	pear (<i>P. communis</i>), Niagara Falls/Ontario, 2000, this work	D	
EaTp9/00	pear (<i>P. communis</i>), Niagara Falls/Ontario, 2000, this work	-	
EaTp10/00	pear (P. communis), Niagara Falls/Ontario, 2000, this work	_	
EaTp12/00	pear (P. communis), Niagara Falls/Ontario, 2000, this work	_	
EaTpyr6/00	Asian pear (<i>P. pyrifolia</i>), Niagara Falls/Ontario, 2000, this work		
E. amylovora strains from CA1R	apple, California, A. Jones	E	
CA263	apple or pear, California, A. Jones	Ε	
CA3R	apple, California, A. Jones	F	
Ea88	pear, Washington, A. Jones	Ε	
Ea110	apple, Michigan, A. Jones	B/Pt4	
Ea153	USA, L. Pusey	Pt1	
		FU	
EaU8/96	apple, Utah, 1996 (Bereswill et al., 1998)		
EL01	A. Jones	B/Pt4	
FB93-5	pear, Idaho, A. Jones	E	
IH3-1	Indian hawthorn, Louisiana, A. Jones	G	
IL1196	pear, Washington, A. Jones	Ε .	
LA029	pear, Washington, A. Jones	Ē	
LA033	pear, Washington, A. Jones	Ē	
	· · ·		
LP100	apple, Washington, A. Jones	E	
OR1	pear, Oregon, A. Jones	E ·	
OR6	pear, Oregon, A. Jones	E	
UTRJ2	apple, Utah, A. Jones	B/Pt4	
WSDA14	apple, Washington, A. Jones	B/Pt4	
WSDA34	apple, Washington, A. Jones	E	
	· ·	_	
E. amylovora strains from I	raspberry (isolated in North America)		
EaCa1/95	raspberry (Rubus ideus), Annapolis Valley, Nova Scotia	– (b)	
EaCa1/98	raspberry, Bouctouche, New Brunswick	(bx)	
EaCa8/96	raspberry, Bouctouche, New Brunswick	- (c)	
EaMR1	•		
	raspberry, Michigan	ĸ	
EaRKK3	raspberry, Michigan	j	
EaRUB7	raspberry (Bereswill et al., 1998)	1	
IL6	raspberry, Illinois	H (e)	
E. amylovora strains from		•	
CFBP1430	Crataegus sp., France, JP. Paulin	Pt3a	
Ea1/79	Cotoneaster sp., Germany, 1979	Pt1 (a)	
Ea9-7	P. communis, Toulouse (France), 1994	Pt4	
Ea296	C. salicifolius, Austria, 1993, M. Keck	Pt1	
	· · ·		
Ea321	CFBP1367, Crataegus sp., France, via S. Beer	Pt3	
EaFR3/98	Cotoneaster, sp., Freiburg (Germany)	Pt1s	

Strain	Description of isolation (plant, place, year, provider)	PFGE pattern
EaUK2/98	hawthorn, Kent (UK), 1998	Pt1
P1573	Cotoneaster sp., Dorset (UK), 1995, A. Aspin	Pt4
E. pyrifoliae strains fro	m Korea (Kim <i>et al.</i> , 2001b)	
Ep1/96	Asian pear (Pyrus pyrifolia), South Korea, 1996	PtA
Ep4/97	Asian pear (P. pyrifolia) South Korea, 1996	PtB
Ep28/96	Asian pear (P. pyrifolia), South Korea, 1996	PtC
Ep31/96	Asian pear (P. pyrifolia), South Korea, 1996	PtC
Ep102/98	Asian pear (P. pyrifolia), South Korea, 1998	PtA
Erwinia strains from Ja	apan (Kim <i>et al.</i> , 2001a)	
Ejp546	Asian pear (P. pyrifolia), Hokkaido, 1979, A. Tanii	other
Ejp547 ^b	Asian pear (P. pyrifolia), Hokkaido, 1979, A. Tanii	PԱp1
Eip556	Asian pear (P. pyrifolia), Hokkaido, 1994, A. Tanii	other
Ejp557	Asian pear (<i>P. pyrifolia</i>), Hokkaido, 1994, A. Tanii	PtJp1
Ejp562	Asian pear (<i>P. pyrifolia</i>), Hokkaido, 1994, A. Tanii	PWp1
Eip617	Asian pear (P. pyrifolia), Hokkaido, 1996, R. Roberts	⁻other

a. Letters A to K refer to the pattern of Xbal digests, as for Pt1 to Pt4 and PtJp1; highly related pattern are listed with '7, similar patterns with a lower case letter added to the main type in upper case. (a to e) in this column refer to Spel-digests of genomic DNA as for PtA, PtB and PtC of E. pyrifoliae. -, not assayed.

from a narrow area in Nova Scotia were not identical in SSRs displaying numbers of 5, 7, or 9 (Fig. 3, Table 2). These data suggest independent changes of E. amylovora populations for SSR. In particular, a strain (EaTp12/ 00) isolated from a pear tree in the neighbourhood of the orchard, where other strains listed in Table 2, had been isolated, showed a divergent SSR number.

Sequence analysis of the hrpN genes of E. amylovora 'fruit tree' and raspberry strains

The hrpN genes from several E. amvlovora 'fruit tree' strains with divergent PFGE patterns and from three raspberry strains were cloned by PCR amplification. The European 'fruit tree' strains Ea1/79, CFBP1430, Ea321 (nucleotide sequence from data library), Ea9-3, P1573 or EaFR3/97 with pattern Pt1, Pt3 (2x), Pt4 or Pt1A, respectively, showed almost identical nucleotide sequences for their hrpN genes with differences of not more than one nucleotide. On the other hand, the American raspberry strains could be distinguished by their HrpN sequences from 'fruit tree' strains from North America. Three motifs in the N-terminal part are typical for rubus strains and can even be considered diagnostic for their distinction from 'fruit tree' strains (Fig. 3A, boxes). In addition, the rubus strain EaCA1/95 showed a six amino acid insertion sequence in the centre of HrpN and a smaller insertion closer to the N-terminus. These sequences distinguished strain EaCA1/95 from strains EaMR1 and IL6 (Fig. 3A, underlined). In a dendrogram, the 'fruit tree' strain Ea1/79 from Germany is well separated from the aligned American rubus strains, but all E. amylovora strains differ in their

Table 2. SSR numbers of E. amylovora strains isolated 2000 in Eastern Canada.

Origin	Isolated from	Name	SSR
Kentville	pear	EaKp1/00	7
	•	EaKp2/00	7
		EaKp5/00	7
	apple	EaKa6/00	9
	• •	EaKa7/00	9
		EaKa8/00	8
		EaKa9/00	7
		EaKa10/00	5
	hawthorn	EaKh14/00	>10
		EaKh15/00	8
		EaKh17/00	8
Toronto	P. pyrifolia	EaTpyr6/00	4
	pear	EaTp9/00	4
	•	EaTp10/00	4
	pear ^a	EaTp12/00	3

a. From tree adjacent to main orchard.

alignment patterns from the Asian pear pathogens (Fig. 3B).

Sequence analysis of the hrpN genes of E. pyrifoliae strains and Erwinia strains from Japan

Erwinia amylovora 'fruit tree' and raspberry strains share motifs of HrpN with the Asian pear pathogens. In Fig. 3A, the sequences of the Korean Erwinia pyrifoliae Ep1/96 and of an Erwinia strain from Japan, Ejp557, were aligned for their possible relationship to the E. amylovora raspberry strains. Erwinia pyrifoliae strains and the Erwinia strains from Japan were strikingly distinct from both E.

b. Previously named Ejp546a, derived from a culture obtained with Ejp546.

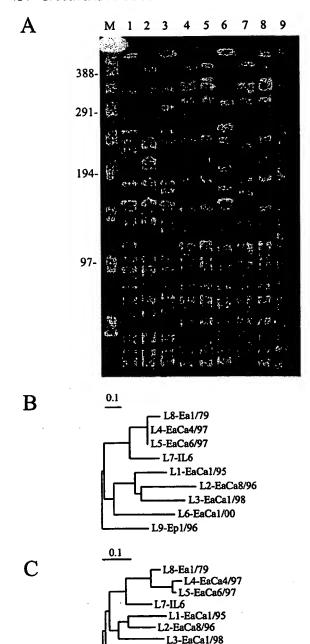


Fig. 2. PFGE analysis of *E. amylovora* strains isolated from raspberry in Canada and Illinois by genomic *Spe*l digests in comparison with isolates from apple in Canada and an *E. amylovora* isolate from cotoneaster and an *E. pyrifoliae* strain.

L6-EaCa1/00 L9-Ep1/96

A. Lanes: M: \(\alpha\) DNA marker (sizes at left in kb); 1: EaCa1/95 (rb); 2: EaCa8/96 (rb); 3: EaCa1/98 (rb); 4: EaCa4/97 (a); 5: EaCa6/97 (a); 6: EaCa1/00 (p); 7: IL6 (rb); 8: Ea1/79 (highest band from partial digest); 9: Ep1/96 (E. pyrifoliae).

B. Dendrogram from pattern in A.

C. Dendrogram from pattern of Xbal digest with the strains applied in A. Suffix 'a', isolated from apple; 'p', from pear; 'IL6', from raspberry. Bars. distance scales.

amylovora groups. The HrpN sequences of the two Asian pear pathogens were related to each other, but not identical and differed in at least four clusters of more than two amino acids.

The *E. pyrifoliae* strains Ep1/96 and Ep102/98 belong to the PFGE pattern type PtA, Ep4/97 to PtB and Ep28/96, Ep31/96 to pattern type PtC (Kim *et al.*, 2001b). Most parts of their HrpN sequences were identical. Nevertheless, Ep1/96, Ep4/97 and Ep102/98 showed a DNA insertion encoding seven amino acids, which distinguished them from the others (Fig. 4). The motif 'GGSGGGL' is reiterated twice for these strains, but is not conserved for *E. amylovora* or the *Erwinia* strains from Japan (Fig. 3A and Fig. 4A). The distance scale in the dendrogram derived in Fig. 4B indicates a close relationship of the investigated *E. pyrifoliae* strains with small differences. Ep1/96, Ep28/96 and Ep102/98 are highly related, less Ep31/96, whereas Ep4/97 is more distinct from the others.

The *Erwinia* strains from Japan analysed were also not completely homogenous for their HrpN sequences. Strains Ejp547, Ejp557 and Ejp562 were highly related in the PFGE patterns after *Xbal* digests, whereas the others could be separated from the first group on this basis (Kim *et al.*, 2001a). In agreement with those data, the HrpN proteins of Ejp547, Ejp557 and Ejp562 differed from the amino acid sequences derived from the other strains at five sites of HrpN (Fig. 5A). The dendrogram in Fig. 5B indicates the relationship of Ejp557, Ejp547 and Ejp562, separating them from the other strains, also confirming PFGE data that strain Ejp547 is not identical with strain Ejp546, obtained in the same agar culture.

The sequences of the *hrpN* genes of *E. amylovora* 'fruit tree' and raspberry strains as well as of *E. pyrifoliae* strains and *Erwinia* strains from Japan showed a differential degree of conservation. The *E. amylovora* 'fruit tree' and rubus strains were 97% related to each other, whereas the HrpN proteins of *E. pyrifoliae* and *Erwinia* strains from Japan had only 83% similarity to HrpN of *E. amylovora* 'fruit tree' strains.

A summarizing dendrogram (Fig. 6) grouped the *E. amylovora* strains apart from the two Asian pear pathogens. *E. pyrifoliae* strains are highly related to each other, and less to the *Erwinia* strains from Japan.

Discussion

The PFGE patterns of the strains isolated in North America are divergent, in contrast to the pattern of strains from Central Europe and the Mediterranean region, which were grouped into four main pattern types (Jock et al., 2002). In spite of basically unrestricted trade in fruit and fire blight host plants, there has been no obvious mixing of pattern types in Europe and the Mediterranean region. Sequential spread from infected sites is the dominant way of disease

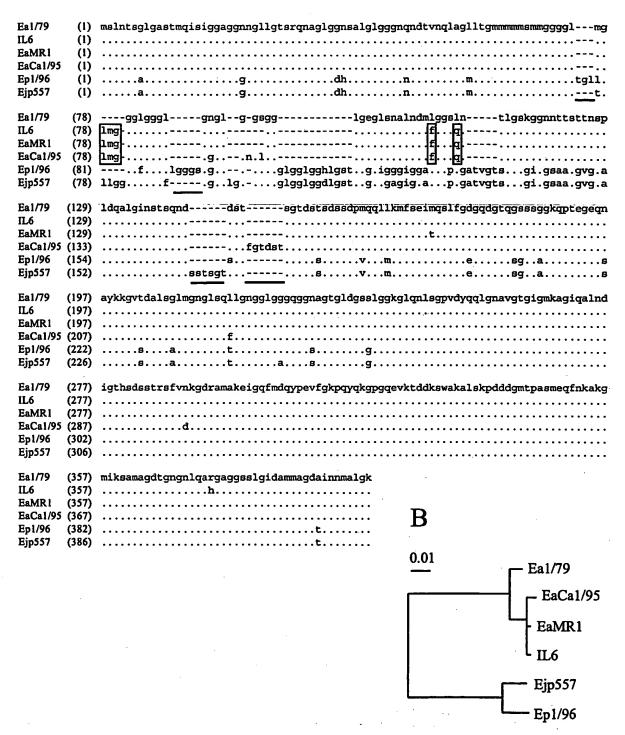


Fig. 3. Sequence alignment of the HrpN proteins from raspberry strains isolated in Canada compared with the E. amylovora 'fruit tree strain' Ea1/79, E. pyrifoliae Ep1/96 and Ejp557, an Erwinia strain from Japan.

A. Common motifs for raspberry strains are boxed and unique insertions for strains are underlined.

B. Dendrogram from the amino acid sequences aligned in A. Bar, distance scale.

A

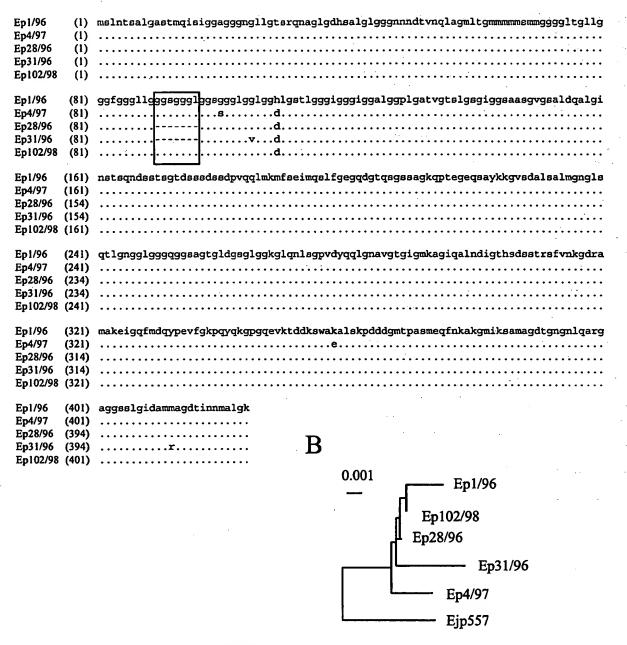


Fig. 4. Comparison of HrpN proteins from five *E. pyrifolia* strains.

A. Amino acid aligment. The motif for strain differentiation is boxed.

B. Dendrogram from the amino acid sequences aligned in A. Bar, distance scale.

distribution, except for introduction of fire blight into Central Spain and Northern Italy, where plant imports can be connected with appearance of fire blight caused by *E. amylovora* strains displaying pattern type Pt3, which has not been found in the adjacent regions.

An ordered PFGE pattern was not found for strains from North America, because even a relatively low number of

isolates gave rise to several different patterns. They also differ from European patterns except for Pt1 and Pt4, which were found repeatedly in isolates from Eastern Canada. Thus, Pt1 and Pt4 could have originated in North America and were then distributed to Europe (Jock et al., 2002), first to England with the first European fire blight outbreaks (Billing and Berrie, 2002). The other patterns in

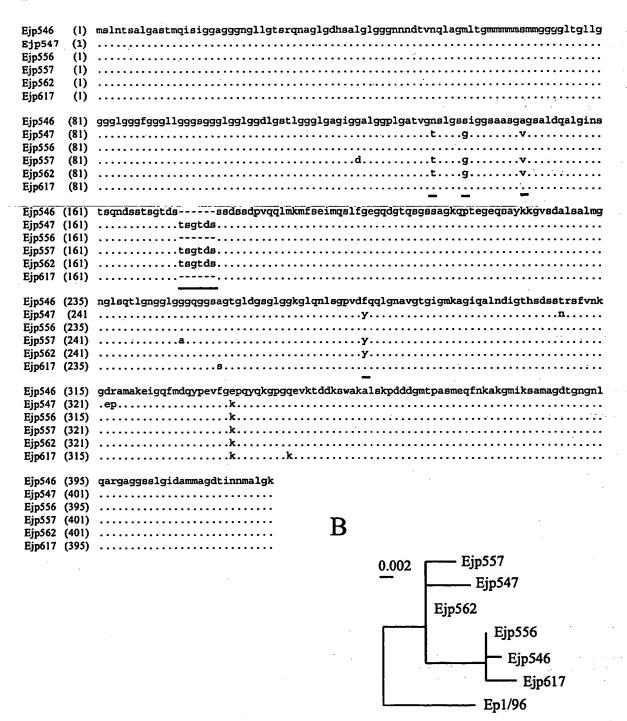


Fig. 5. Comparison of HrpN proteins from six Erwinia strains isolated in Japan.

A. Amino acid alignment. An insertion motif and amino acid substitutions for strains Ejp547, Ejp557, and Ejp562 are underlined.

B. Dendrogram from the amino acid sequences aligned in A. Bar, distance scale.

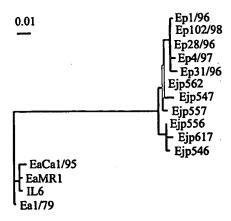


Fig. 6. A dendrogram showing the relatedness of *E. amylovora* 'fruit tree' and raspberry strains and the evolutionary distance of the Asian pear pathogens based on the HrpN amino acid sequences. Bar, distance scale.

America can be explained by genetic changes over a long time period; they were rarely distributed to other countries except for Pt2, a pattern which was found first in Egypt and also in a strain from California (Zhang and Geider, 1997; Jock *et al.*, 2002).

A special subgroup of *E. amylovora* strains from raspberry is endemic in North America and has only been isolated there. A reason for the difference in the PFGE patterns from *E. amylovora* 'fruit tree' strains could be the unusual host, which required many genomic changes for adaptation of the pathogen. On the other hand, their presumably long persistence in North America could have allowed accumulation of many base changes in the genome causing their pattern heterogeneity.

The SSR numbers are not related to PFGE patterns or the areas of isolation as also found for American (Schnabel and Jones, 1998) and European *E. amylovora* strains (Kim and Geider, 1999). Nevertheless, different numbers indicate non-identical isolates from fire blighted plants. Among intermediate numbers there is a high occurrence of low numbers such as 3 and 4, which are not often observed in Central Europe. In isolations from the same apple orchard in Kentville, we found SSR numbers from 5, 7 and 9. Normally, only one SSR-type is usually isolated in the same set of isolates, but recently, we observed some exceptions like in England where we found SSR type 3 and 7 in isolates from adjacent plants (Jock *et al.*, 2003a).

The ability to induce a hypersensitive response (HR) on non-host plants is a common feature of plant pathogenic bacteria. In evolution, many genes of the *hrp* cluster especially those involved in protein secretion have been highly conserved among bacteria (Van Gijsegem *et al.*, 1993; Bogdanove *et al.*, 1996). A spontaneous base change in *hrpL* within an *E. pyrifoliae* population has been recently described (Jock *et al.*, 2003b). Genes encoding harpins

are highly divergent even for related bacteria. The HrpN proteins of two related species such as P. stewartii ssp. stewartii (E. stewartii) and P. stewartii pv. gypsophylae show only 60% similarity to each other (EMBL Nucleotide Sequence Database accession numbers AF282857 and AF21176 respectively). The similarity of these harpins and HrpN of E. carotovora ssp. carotovora (AF302656) to harpin of E. amylovora is 62%, 56%, and 49% respectively. The sequence information of hrpN is not only suited for classification of bacterial species, but also for grouping of strains within a species. On the other hand, HrpN can be conserved, found for E. amylovora 'fruit tree' strains. where the sequences matched at the nucleotide level. These strains isolated from raspberry in North America, share-more-than-95%-similarity. A high relationship was also observed between E. pyrifoliae strains from Korea and the Japanese pear pathogen, whereas E. amylovora strains match with these pathogens less than 85%. Although the Erwinia stains from Japan have not been taxonomically classified, the relatedness of HrpN proteins adds to the notion to place these with E. pyrifoliae into the same species (Kim et al., 2001a). In addition, HrpN sequences provided also information for strain differentiation within a species.

Because the transport of harpin depends on several cellular proteins, its sequence cannot freely change only to conserve its elicitor activity. Whether the HrpN protein or even the DspA/E-protein (Gaudriault et al., 1997; Bogdanove et al., 1998) contribute to host plant specificity of a pathogen has still to be shown. The divergences of the HrpN sequences should indicate an evolutionary drift, similar to the PFGE patterns analysed. The most likely explanation is the long persistence of E. amylovora in North America, of E. pyrifoliae in Korea and the slightly different pear pathogen in Japan. Furthermore, the occurrence of European pattern types Pt1 and Pt4 among the divergent American PFGE patterns may indicate a rare escape of fire blight from its origin in North America.

Experimental procedures

Bacterial strains, PCR and PFGE analyses

The *E. amylovora* strains were isolated in the Eastern part of Canada, or were gifts from colleagues (Table 1). They were confirmed as *E. amylovora* on several agar plates including MM2Cu (Bereswill *et al.*, 1998) and by PCR assays (Bereswill *et al.*, 1992). Pulsed-field gel electrophoresis analysis (Jock *et al.*, 2002) and determination of the SSR numbers (Kim *et al.*, 1999) were done as described. *Erwinia pyrifoliae* (Kim *et al.*, 2001b) and the *Erwinia* strains from Japan were also described previously (Kim *et al.*, 2001a). For pattern comparison, the PFGE fragments were assigned by eye with letters and the program CLUSTALX1.81 used for pairwise alignments. The dendrograms were adjusted with NJ-tree and further processed in a graphics program. Pattern analy-

sis was also done with the public domain programs ImageJ (v. 1.30; W. Rasband, NIH, USA) and Cross Checker (v. 2.91; J. B. Buntjer, Wageningen, the Netherlands) and alignment with Treecon vs. 1.3b (Y. van de Peer, Konstanz, Germany) and CLUSTALX1.81 respectively. Corrections by eye were required for further adjustment of the band assignments.

Analysis of the hrpN genes from E. amylovora and the Asian pear pathogens

The hrpN genes of strains from the three pathogens were amplified with PCR consensus primers, which were deduced by comparison of several known nucleotide sequences from plant pathogens namely E. amylovora (EMBL Nucleotide Sequence Database accession number M92994) or P. stewartii (accession number AF282857). Primer HRPN1 was 5'-ATGAGTCTGAATACAAG-3' (at start of E. amylovora hrpN) and primer HRPN3c 5'-GCTTGCCAAGTGCCATA-3' hrpN, 11 bp downstream from stop codon). In some cases, weak PCR bands obtained could indicate incomplete matching of the primers. The amplified DNA fragments were cloned into vector pGEM-T and were commercially sequenced. To cover the total hrpN genes, a third primer HRPMc (5'-CCACGGCGTTACCCAACTGCTGG-3') located in the central part of the hrpN gene was used to cover gaps in the HrpN sequences. Alignments and dendrograms were created with CLUSTALX1.81.

Erwinia pyrifoliae and the Erwinia strains from Japan were considered to be sufficiently related to E. amylovora to amplify their hrpN genes with the Erwinia PCR consensus primers given above. This was indeed possible and allowed cloning and sequencing of their hrpN DNA fragments as for E. amylovora by using primers HRPN1 and HrpN3c. A primer comprising the stop codon at the C-terminus of hrpN did not result in the formation of a PCR product together with primer HRPN1.

The hrpN nucleotide sequences from strains Ea1/79, EaCa1/95, IL6, EaMR1, Ejp546, Ejp557, Ep1/96, Ep31/96, Ep4/97 and were deposited in the EMBL Nucleotide Sequence Database with the accession numbers AJ579689 (Ea1/79), AJ579690 (EaCa1/95), AJ579691 (IL6), AJ579692 AJ579693 (Ejp546), AJ579694 (Ejp557), (EaMR1), AJ579695 (Ep1/96), AJ579696 (Ep31/96) and AJ579697 (Ep4/97).

Acknowledgements

Samples of necrotic plants from orchards with fire blight were collected by us in Canada with the help of Gordon Braun, Paul Hildebrand (Kentville/Nova Scotia), Martin Hubbes and David Hunter (Toronto/Ontario). We also thank Al Jones (East Lansing/Michigan), David Hunter and Gordon Braun for sending additional E. amylovora strains as well as Carlo Bazzi (Bologna) and Christopher Hayward (Brisbane) for comments on the manuscript.

References

Barny, M.-A. (1995) Erwinia amylovora hrpN mutants,

- blocked in harpin synthesis, express a reduced virulence on host plants and elicit variable hypersensitive reactions on tobacco. Eur J Plant Path 101: 333-340.
- Beer, S.V., Kim, J.-H., Zumoff, C.H., Bogdanove, A.J., Laby, R.J., Gustafson, H.L., et al. (1996) Characterization of bacteria that cause 'bacterial shoot blight of pear' in Japan. Acta Hortic 411: 179-181.
- Bereswill, S., Pahl, A., Bellemann, P., Zeller, W., and Geider, K. (1992) Sensitive and species-specific detection of Erwinia amylovora by polymerase chain reaction analysis. Appl Enviro Microbiol 58: 3522-3526.
- Bereswill, S., Jock, S., Bellemann, P., and Geider, K. (1998) Identification of Erwinia amylovora by growth in the presence of copper sulfate and by capsule staining with lectin. Plant Dis 82: 158-164.
- Billing, E., and Berrie, A.M. (2002) A re-examination of fire blight epidemiology in England. Acta Hortic 590: 61-67.
- Bogdanove, A.J., Beer, S.V., Bonas, U., Boucher, C.A., Col-Imer, A., Coplin, D.L., et al. (1996) Unified nomenclature for broadly conserved hrp genes of phytopathogenic bacteria. Mol Microbiol 20: 681-683.
- Bogdanove, A.J., Kim, J.F., Wei, Z., Kolchinsky, P., Charkowski, A.O., Conlin, A.K., et al. (1998) Homology and functional similarity of an hrp-linked pathogenicity locus, dspEF, of Erwinia amylovora and the avirulence locus avrE of Pseudomonas syringae pathovar tomato. Proc Natl Acad Sci USA 95: 1325-1330.
- Bonn, W.G., and van der Zwet, T. (2000) Distribution and economic importance of fire blight. In Fire Blight: the Disease and its Causative Agent Erwinia Amylovora. Vanneste, J. (ed.). New York: CABI Publishing, pp. 37-53.
- Bugert, P., and Geider, K. (1995) Molecular analysis of the ams operon required for exopolysaccharide synthesis of Erwinia amylovora. Mol Microbiol 15: 917-933.
- Gaudriault, S., Malandrin, L., Paulin, J.-P., and Barny, M.A. (1997) DspA, an essential pathogenicity factor of Erwinia amylovora showing homology with AvrE of Pseudomonas syringae, is secreted via Hrp secretion pathway in a DspBdependent way. Mol Microbiol 26: 1057-1069.
- Jock, S., Donat, V., Lopez, M.M., Bazzi, C., and Geider, K. (2002) Following spread of fire blight in Western, Central and Southern Europe by molecular differentiation of Erwinia amylovora strains with PFGE analysis. Environ Microbiol 4: 106-114.
- Jock, S., Jacob, T., Kim, W.-S., Hildebrand, M., Vosberg, H.-P., and Geider, K. (2003a) Instability of short-sequence DNA repeats of pear pathogenic Erwinia strains from Japan and Erwinia amylovora fruit tree and raspberry strains. Mol Gen Genetic 268: 739-749.
- Jock, S., Kim, W.-S., Barny, M.-B., and Geider, K. (2003b) Molecular characterization of natural Erwinia pyrifoliae strains deficient in the hypersensitive response. Appl Environ Microbiol 69: 679-682:
- Kim, J.F., and Beer, S.V. (2000) hrp genes and harpins of Erwinia amylovora: a decade of discovery. In Fire Blight: the Disease and its Causative Agent Erwinia Amylovora. Vanneste, J. (ed.). New York: CABI Publishing, pp. 141-161.
- Kim, W.-S., and Geider, K. (1999) Analysis of variable shortsequence DNA repeats on the 29 kb plasmid of Erwinia amylovora strains. Europ J Plant Pathol 105: 703-713.

- Kim, W.-S., Gardan, L., Rhim, S.-L., and Geider, K. (1999) Erwinia pyrifoliae sp. nov., a novel pathogen affecting Asian pear trees (*Pyrus pyrifolia* Nakai). Int J Syst Bacteriol 49: 899–906.
- Kim, W.-S., Hildebrand, M., Jock, S., and Geider, K. (2001a) Molecular comparison of pathogenic bacteria from pear trees in Japan and the fire blight pathogen *Erwinia amylo-vora*. *Microbiology/SGM* 147: 2951–2959.
- Kim, W.-S., Jock, S., Paulin, J.-P., Rhim, S.L., and Geider, K. (2001b) Molecular detection and differentiation of Erwinia pyrifoliae and host range analysis of the Asian pear pathogen. Plant Dis 85: 1183–1188.
- McGhee, G.C., Schnabel, E.L., Stein, K.-M., Jones, B., Stromberg, V.K., Lacy, G.H., and Jones, A.L. (2002) Relatedness of chromosomal and plasmid DNAs of *Erwinia pyrifoliae* and *Erwinia amylovora*. *Appl Environ Microbiol* 68: 6182–6192.
- Rhim, S.-L., Völksch, B., Gardan, L., Paulin, J.-P., Langlotz, C., Kim, W.-S., and Geider, K. (1999) An Erwinia species, different from Erwinia amylovora, causes a necrotic disease of Asian pear trees. Plant Pathol 48: 514–520.

- Schnabel, E.L., and Jones. A.L. (1998) Instability of a pEA29 marker in *Erwinia amylovora* previously used for strain classification. *Plant Dis* **82**: 1334–1336.
- Van Gijsegem, F., Genin, S., and Boucher, C. (1993) Conservation of secretion pathways for pathogenicity determinants of plant and animal bacteria. *Trends Microbiol* 1: 175–180.
- Vanneste, J. (2000) (ed.) Fire Blight: The Disease and its Causative Agent Erwinia amylovora. New York: CABI Publishing, 370pp.
- Wei, Z.M., Laby, R.J., Zumoff, C.H., Bauer, D.W., He, S.Y., Collmer, A., and Beer, S.V. (1992) Harpin, elicitor of the hypersensitive response produced by the plant pathogen *Erwinia amylovora. Science* 257: 85–88.
- Zhang, Y., and Geider, K. (1997) Differentiation of *Erwinia* amylovora strains by pulsed field gel electrophoresis. *Appl*—Environ-Microbiol 63: 4421–4426.
- Zhang, Y., Merighi, M., Bazzi, C., and Geider, K. (1998) Genomic analysis by pulsed-field gel electrophoresis of Erwinia amylovora strains from the Mediterranean region including Italy. J Plant Pathol 80: 225–232.

This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

BLACK BORDERS

☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
☐ FADED TEXT OR DRAWING
☐ BLURRED OR ILLEGIBLE TEXT OR DRAWING
☐ SKEWED/SLANTED IMAGES
COLOR OR BLACK AND WHITE PHOTOGRAPHS
☐ GRAY SCALE DOCUMENTS
LINES OR MARKS ON ORIGINAL DOCUMENT
☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
□ OTHER:

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.